

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. WINN.11CP5DV1	APPLICATION NO. 10/785,379
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Ben Huang	
		FILING DATE February 24, 2004	GROUP Unknown

USE SEVERAL SHEETS IF NECESSARY)

APR 26 2004

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>B</i>	1	4,919,420	04/24/90	Sato		
	2	5,343,776	09/06/94	Falco et al.		
	3	5,797,813	08/25/98	Huang		
	4					
	5					
	6					
	7					
	8					
	9					
	10					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11							
	12							
	13							
	14							
	15							
	16							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	17
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	20
	21

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EXAMINER <i>B. Blou</i>	DATE CONSIDERED 9/12/07
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\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No. 10/785,379  
 Filing Date February 24, 2004  
 First Named Inventor Huang, Ben  
 Art Unit 1764  
 Examiner Unknown  
 Attorney Docket No. WINN.IICP5DV1

(Multiple sheets used when necessary)

SHEET 1 OF 7

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	076,266	12/20/1910	Dean	NO / CONSIDERED
	2	1,017,565	2/13/1912	Lard	
	3	1,139,843	5/18/1915	Brown	
	4	1,435,088	11/7/1922	Smith	
	5	1,522,635	1/13/1925	Kraeuter	
	6	1,528,190	3/3/1925	Howe	
	7	1,943,399	1/16/1934	Smith	
	8	2,000,295	5/7/1935	Oldham	
	9	2,086,062	7/6/1937	Bray	
	10	2,103,889	12/28/1937	Brisick	
	11	2,149,911	3/7/1939	East	
	12	2,772,090	11/27/1956	Brandon	
	13	3,606,325	9/20/1971	Lamkin et al.	
	14	3,366,384	1/30/1968	Lamkin et al.	
	15	4,765,856	8/23/1988	Doubt	
	16	5,055,340	10/1/1991	Matsumura et al.	
	17	5,571,050	11/5/1996	Huang	
	18	5,577,722	11/26/1996	Glassberg	
	19	5,671,923	9/30/1997	Huang	
	20	5,695,418	12/09/1997	Huang	
	21	5,839,983	11/26/1996	Glassberg	
	22	5,857,929	9/30/1997	Huang	
	23	5,895,329	4/20/1999	Huang	
	24	5,925,941	7/20/1999	Kofink	
	25	6,244,975	6/12/2001	Huang	
	26	6,361,450	3/26/2002	Huang	
	27	6,386,989	05/14/2002	Huang	
	28	6,449,803	9/17/2002	McConchie	
	29	6,629,901	10/7/2003	Huang	

Examiner Signature

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Multiple sheets used when necessary)</i>  SHEET 2 OF 7	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Huang, Ben
	Art Unit	1764
	Examiner	Unknown
	Attorney Docket No.	WINN.11CP5DV1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
<i>B</i>	30	6,666,777	12/23/2003	Lamkin et al.	
<i>B</i>	31	6,676,534	7/25/2002	Huang	
<i>B</i>	32	2002/173371	11/21/2002	Lamkin et al.	
<i>B</i>	33	2003/0040384	2/27/2003	Falone et al.	
<i>B</i>	34	2004/185958	09/23/2004	Huang	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
<i>B</i>	35	EP 1 371 397	12/17/2003	Huang		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
<i>B</i>	36	European Search Report, European Application No. EP 04 25 7967, 4 pages- cites: US 2004/185958; EP 1 371 397; US 2,225,839; US 6,666,777; US 5,839,983; US 5,577,722; and US 979,266	
<i>B</i>	37	Partial European Search Report, App. No. EP 03 25 5917, 2 pages- cites: 5,671,923; 4,765,856; US 2002/173371; US 2003/040384; and 5,571,050	
<i>B</i>	38	U.S. Application No. 10/167,216, filed 6/11/2002, pending	
<i>B</i>	39	U.S. Application No. 10/348,389, filed 1/21/2003, now U.S. Patent No. 6,733,401, issued 5/11/04	
<i>B</i>	40	U.S. Application No. 10/392,480, filed 3/18/2003, now U.S. Patent No. 6,857,971, issued 2/22/05	
<i>B</i>	41	U.S. Application No. 10/746,764, filed 12/23/2003 now U.S. Patent No. 6,843,732, issued 1/18/2005	
<i>B</i>	42	U.S. Application No. 10/608,598, filed 6/27/2003, pending	
<i>B</i>	43	U.S. Application No. 10/827,095, filed 4/19/2004, pending	
<i>B</i>	44	U.S. Application No. 10/875,035, filed 6/23/2004, pending	
<i>B</i>	45	U.S. Application No. 11/029,328, filed 1/5/2005, pending	

Examiner Signature <i>[Signature]</i>	Date Considered <i>9/12/07</i>
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Multiple sheets used when necessary)</i>	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Huang, Ben
	Art Unit	1764
	Examiner	Unknown
SHEET 3 OF 7	Attorney Docket No.	WINN.11CP5DV1

## NON PATENT LITERATURE DOCUMENTS

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/	46	U.S. Application No. 11/062,046, filed 2/18/2005, pending	
	47	U.S. Application No. 11/131,832, filed 5/18/2005, pending	
	48	U.S. Application No. 11/172,770, filed 7/1/2005, pending	
/	49	Docket Sheet for U.S. District Court, Central District of California (Southern Division-Santa Ana), Civil Docket For Case #: 8:00-cv-00882-AHS-AN	
/	50	Defendants' Answer to Plaintiffs' Complaint for Infringement of U.S. Patent No. 5,797,813 – Demand for Jury Trial, Filed October 2, 2000, Civil Action No. SACV 00-882 GLT (ANX)	
/	51	Docket Sheet for U.S. District Court – Central District of California (Western Division – Los Angeles) Civil Docket for Case #: 2:03-CV-01568-SJO-PJW entitled <u>Winn, Inc., et al. v. Eaton Corporation, et al.</u>	
/	52	Answer to Plaintiff's First Amended Complaint and Counterclaim of Defendant Eaton Corporation and Demand for Jury Trial, Filed August 28, 2002	
/	53	Answers and Objections of Eaton Corporation to First Set of Interrogatories Filed January 17, 2003, CV 03-1568	
/	54	Memorandum in Support of Defendant's Motion for Summary Judgment Filed April 25, 2003, CV 03-1568	
/	55	Declaration of Nick G. Saros in Support of Defendant's Motion for Summary Judgment Filed April 25, 2003, CV 03-1568	
/	56	Declaration of Luke L. Dauchot Filed April 24, 2003, CV 03-1568	
/	57	Answers and Objections of Eaton Corporation to Interrogatories Filed May 2, 2003, CV 03-1568	
/	58	Application to File Confidential Declaration of Lynda J. Zadra-Symes in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Under Seal Filed May 21, 2003, CV 03-1568	
/	59	Non-Confidential Declaration of Lynda J. Zadra-Symes in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003	
/	60	Appendix of Citations in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568	
/	61	Statement of Genuine Issues of Material Fact in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568	
/	62	Declaration of Ben Huang, Ph.D. in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568	
/	63	Declaration of Charles Alexander Garriss, Ph.D. in Support of Plaintiff Winn Inc.'s Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568	
/	64	Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568	

Examiner Signature <i>[Signature]</i>	Date Considered <i>9/12/07</i>
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	Filing Date	February 24, 2004
	First Named Inventor	Huang, Ben
	Art Unit	1764
	Examiner	Unknown
SHEET 4 OF 7	Attorney Docket No.	WINN.11CP5DVI

NON PATENT LITERATURE DOCUMENTS			
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/	65	Declaration of Nick G. Saros in Support of Defendant's Reply Brief in Support of its Motion for Summary Judgment Filed May 27, 2003, CV 03-1568	
/	66	Defendant's Reply Brief in Support of its Motion for Summary Judgment Filed May 27, 2003, CV 03-1568	
/	67	Amended Answers and Objections of Eaton Corporation to Interrogatories Filed May 29, 2003, CV 03-1568	
/	68	Supplemental Answers and Objections of Eaton Corporation to Interrogatory Nos. 6, 10, and 11 of the Interrogatories Filed June 6, 2003, CV 03-1568	
/	69	Supplemental Answers and Objections of Eaton Corporation to Interrogatory Nos. 6 and 7 of the First Set of Interrogatories Filed June 6, 2003, CV 03-1568	
/	70	Order Re: Motion for Summary Judgment Filed June 16, 2003, CV 03-1568	
/	71	Answers and Objections of Eaton Corporation to Ben Huang's Second Set of Interrogatories Filed June 16, 2003, CV 03-1568	
/	72	Declaration of Jeffrey Lloyd Shepherd in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 4, 2003, CV 03-1568	
/	73	Application to File Confidential Declaration of Paul A. Stewart in Support of Plaintiffs' Motion for Summary Judgment Under Seal Filed August 4, 2003, CV 03-1568	
/	74	Non-Confidential Declaration of Paul A. Stewart in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 4, 2003, CV 03-1568	
/	75	Declaration of Nick G. Saros in Support of Eaton's Motion for Summary Judgment Filed August 4, 2003, CV 03-1568	
/	76	Second Supplemental Answer and Objections of Eaton Corporation to Interrogatory No. 6. of the Interrogatories Filed August 6, 2003, CV 03-1568	
/	77	Supplemental Answers and Objections of Eaton Corporation to Interrogatory Nos. 5-7 and 9 of the First Set of Interrogatories Filed August 6, 2003, CV 03-1568	
/	78	Supplemental Declaration of Nick G. Saros in Support of Eaton's Motion for Summary Judgment Filed on August 4, 2003 Filed August 7, 2003, CV 03-1568	
/	79	Defendant Eaton Corporation's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568	
/	80	Appendix of Citations in Support of Defendant's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568	
/	81	Statement of Genuine Issues of Material Fact in Support of Eaton Corporation's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568	
/	82	Non-Confidential Declaration of Nick G. Saros in Support of Defendant's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568	
/	83	Plaintiffs' Opposition to Defendant's Motion for Summary Judgment Filed August 11, 2003, CV 03-1568	

Examiner Signature	<i>Blum</i>	Date Considered	9/12/07
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Multiple sheets used when necessary)</i>  <b>SHEET 5 OF 7</b>	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Huang, Ben
	Art Unit	1764
	Examiner	Unknown
	Attorney Docket No.	WINN.11CP5DV1

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✓	84	Statement of Genuine Issues of Material Fact in Opposition to Eaton Corporation's Motion for Summary Judgment Filed August 11, 2005, CV 03-1568	
✓	85	Declaration of Dr. Garth L. Wilkes in Support of Plaintiffs' Opposition to Eaton Corporation's Motion for Summary Judgment Filed August 11, 2003, CV 03-1568	
✓	86	Declaration of Dr. Kurt C. Frisch, Jr. in Support of Plaintiffs' Opposition to Eaton Corporation's Motion for Summary Judgment August 11, 2003, CV 03-1568	
✓	87	Eaton Corporation's Reply Brief in Support of its Second Motion for Summary Judgment Filed August 18, 2005, CV 03-1568	
✓	88	Declaration of Nick G. Saros in Support of Eaton's Reply Brief in Support of its Motion for Summary Judgment Filed August 18, 2003, CV 03-1568	
✓	89	Reply Brief in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 18, 2003, CV 03-1568	
✓	90	Non-Confidential Supplemental Declaration of Paul A. Stewart in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 18, 2005, CV 03-1568	
✓	91	Plaintiffs' Submission of August 18, 2003 Transcript of Deposition of Dr. Shaw Ling Hsu in Opposition to Defendant's Motion for Summary Judgment Filed August 20, 2003, CV 03-1568	
✓	92	Defendant's Response to Plaintiffs' Submission of August 18, 2003 Filed August 21, 2003, CV 03-1568	
✓	93	Declaration of Erica S. Olson in Support of Eaton's Response to Plaintiffs' Submission of August 18, 2003 Filed August 21, 2003, CV 03-1568	
✓	94	Supplemental Declaration of Dr. Kurt C. Frisch, Jr. in Support of Plaintiffs' Opposition to Eaton Corporation's Motion for Summary Judgment Filed August 25, 2005, CV 03-1568	
✓	95	Plaintiffs' Memorandum of Contentions of Fact and Law Filed September 8, 2003, CV 03-1568	
✓	96	Stipulated Dismissal and Order Filed October 9, 2003, CV 03-1568	
✓	97	Docket Sheet for U.S. District Court- Eastern District of Michigan (Detroit) Civil Docket for Case #: 2:04:CV71117-AC-WC entitled <u>Winn, Inc. v. King Par Corporation</u>	
✓	98	Complaint for Declaratory Judgment of Patent Invalidity and Noninfringement, Filed March 25, 2004, Case No. 04-71117	
✓	99	Answer to Complaint for Declaratory Judgment and Counterclaim for Patent Infringement - Demand for Jury Trial, Filed April 29, 2004, Case No. 04-71117	
✓	100	Answer to Counterclaim, Filed May 10, 2004, Case No. 04-71117	
✓	101	Affirmative Defenses to the Counterclaim, Filed May 10, 2004, Case No. 04-71117	
✓	102	Answer to Winn Inc.'s First Set of Interrogatories (Nos. 1-5) Filed July 20, 2004, CV 04-71117 (*Note Annex A to this Answer was marked Confidential. As such, the Annex has not been submitted herewith.)	

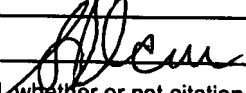
Examiner Signature	<i>[Signature]</i>	Date Considered	9/12/07
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	Filing Date	February 24, 2004
	First Named Inventor	Huang, Ben
	Art Unit	1764
SHEET 6 OF 7	Examiner	Unknown
	Attorney Docket No.	WINN.11CP5DV1

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
✓	103	Response of Winn Inc. and Ben Huang to Defendant King Par Corporation's First Set of Interrogatories Directed to Plaintiffs Filed August 2, 2004, CV 04-71117	
✓	104	Answer to Plaintiff's Fourth Set of Interrogatories to King Par Corporation (Nos. 10-12) Filed December 30, 2004, CV 04-71117	
✓	105	King Par Corporation's Answer to Second Amended Complaint for Patent Infringement, Filed January 11, 2005, Case No. 04-71117	
✓	106	Plaintiffs' Claim Construction Brief Filed March 2, 2005, CV 04-71117	
✓	107	Declaration of Dr. Charles A. Garris in Support of Plaintiff's Claim Construction Filed March 3, 2005, CV 04-71117	
✓	108	Declaration of Dr. Kurt C. Frisch, Jr. in Support of Plaintiffs' Claim Construction Position Filed March 3, 2005, CV 04-71117	
✓	109	Declaration of Dr. Ben Huang in Support of Plaintiffs' Claim Construction Filed March 3, 2005, CV 04-71117	
✓	110	Winn, Inc. and Ben Huang's Appendix of Dictionary Citations Filed March 3, 2005, CV 04-71117	
✓	111	Memorial for the Respondent Filed March 15, 2005, CV 04-71117	
✓	112	Errata to Plaintiffs' Claim Construction Brief Filed March 21, 2005, CV 04-71117	
✓	113	Defendant's Brief on Claim Construction Filed March 30, 2005, CV 04-71117	
✓	114	Defendant Maibor Corporation's Answer and Affirmative Defenses to Plaintiff's Second Amended Complaint, Filed May 3, 2005, Case No. 04-71117	
✓	115	Answer to Amended Complaint by Ming Hsing Leather Company, Limited, Filed May 9, 2005, Case No. 04-71117	
✓	116	Defendants' Proposed Claim Construction Summary, Filed August 2, 2005, Case No. 04-71117	
✓	117	Plaintiffs' Submission of Revised Proposed Claim Construction, Filed August 2, 2005, Case No. 04-71117	
✓	118	Decision on Claim Construction, Filed August 16, 2005, Case No. 04-71117	
✓	119	Docket Sheet for U.S. District Court- Central District of California (Western Division - Los Angeles) Civil Docket for Case #: 2:05-CV-00535-AHS-AN, entitled <u>High Cedar Enterprises Co., Ltd. et al. v. Winn Inc.</u>	
✓	120	Plaintiffs' Answer to Counterclaim of Defendant WINN, Inc., Filed September 7, 2004, Case No. C2-04-576	
✓	121	Plaintiffs' Answer to Counterclaim of Ben Huang, Filed October 6, 2004, Case No. C2-04-576	

Examiner Signature		Date Considered	9/12/07
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			

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	First Named Inventor	Huang, Ben
	Art Unit	1764
	Examiner	Unknown
SHEET 7 OF 7	Attorney Docket No.	WINN.11CP5DV1

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✓	122	Counterclaim-Defendant Jack Wang's Answer to Counterclaim of Defendant WINN, Inc. and Ben Huang, Filed November 22, 2004, Case No. C2-04-576	
✓	123	Responses of High Cedar Enterprises Co., Ltd., Karakal Far East, Ltd., a/k/a Karakal Golf Grips and Jack Wang to Winn, Inc.'s First Set of Requests for Admission [Nos. 1-17] Filed June 10, 2005, SACV 05-535 AHS (ANx)	
✓	124	Responses of High Cedar Enterprises Co., Ltd., Karakal Far East, Ltd., a/k/a Karakal Golf Grips and Jack Wang to Winn, Inc.'s First Set of Interrogatories [Nos. 1-15] Filed July 11, 2005, SACV 05-535 AHS (ANx)	
	125	Docket Sheet for U.S. District Court- Central District of California (Southern Division- Santa Ana) Civil Docket for Case #: 8:05-CV-00168 CJC (RNBx) entitled <i>Winn, Inc., et al. v Karakal Far East Ltd., et al.</i>	
	126	Memorandum of Points and Authorities in Support of Plaintiff's Motion for A Preliminary Injunction Filed February 28, 2005, SACV 05-00168	
	127	Declaration of Ben Huang in Support of Plaintiff's Motion for A Preliminary Injunction Filed February 28, 2005, SACV 05-00168	
	128	Declaration of Charles A. Garris in Support of Plaintiffs' Motion for Preliminary Injunction Filed February 28, 2005, SACV 05-00168	
	129	Stipulated Preliminary Injunction, Filed March 14, 2005, Case No. SACV 05-168 CJC (RNBx)	
	130	Answer of Defendants Karakal Far East Ltd. and High Cedar Enterprises Co., Ltd., Filed April 13, 2005, Case No. SACV 05-168 CJC (RNBx)	
	131	Initial Disclosures of Plaintiffs WINN Incorporated and Ben Huang, Filed May 9, 2005, Case No. SACV 05-168 CJC (RNBx)	
	132	Initial Disclosures of Defendants High Cedar Enterprises, Co. Ltd. and Karakal Far East Ltd., Filed May 9, 2005, Case No. SACV 05-168 CJC (RNBx)	

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Examiner Signature	<i>Blum</i>	Date Considered	9/12/07
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PTO/SB/08 Equivalent

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	Filing Date	February 24, 2004	
	First Named Inventor	Ben Huang	
	Art Unit	1764	
SHEET 1 OF 1		Examiner	Unknown
		Attorney Docket No.	WINN.11CP5DVI

## U.S. PATENT DOCUMENTS

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	1	US 2002/0173371	11-21-2002	Lamkin et al.	
	2	US 2004/0029645 A1	02-12-2004	Chen	
	3	US 2004/0185958	09-23-2004	Huang	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>

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	4	Docket Sheet for U.S. District Court, Central District of California (Southern Division-Santa Ana), Civil Docket For Case #: 8:00-cv-00882-AHS-AN dated December 8, 2005	
	5	Docket Sheet for U.S. District Court - Central District of California (Western Division - Los Angeles) Civil Docket for Case #: 2:03-CV-01568-SJO-PJW entitled <u>Winn, Inc., et al. v. Eaton Corporation, et al.</u> dated December 7, 2005	
	6	Docket Sheet for U.S. District Court- Eastern District of Michigan (Detroit) Civil Docket for Case #: 2:04:CV71117-AC-WC entitled <u>Winn, Inc. v. King Par Corporation</u> dated December 7, 2005	
	7	Docket Sheet for U.S. District Court- Central District of California (Western Division - Los Angeles) Civil Docket for Case #: 2:05-CV-00535-AHS-AN, entitled <u>High Cedar Enterprises Co., Ltd. et al. v. Winn Inc.</u> dated December 7, 2005	
	8	Docket Sheet for U.S. District Court- Central District of California (Southern Division- Santa Ana) Civil Docket for Case #: 8:05-CV-00168 CJC (RNBx) entitled <u>Winn, Inc., et al. v. Karakal Far East Ltd., et al.</u> dated December 7, 2005	

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Examiner Signature	Date Considered 9/12/07
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T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No. 10/785,379  
 Filing Date February 24, 2004  
 First Named Inventor Ben Huang  
 Art Unit 1764  
 Examiner Unknown  
 Attorney Docket No. WINN.IICP5DVI

SHEET 1 OF 4

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
<i>[Handwritten marks]</i>	1	571025	11-10-1896	Spamer	
<i>[Handwritten marks]</i>	2	979266	12-20-1910	Dean	
<i>[Handwritten marks]</i>	3	1008604	11-14-1911	Lake	
<i>[Handwritten marks]</i>	4	1345505	7-6-1920	Persons	
<i>[Handwritten marks]</i>	5	1617972	2-15-1927	Wallace	
<i>[Handwritten marks]</i>	6	1890037	11-21-1930	Johnson	
<i>[Handwritten marks]</i>	7	2221421	11-12-1940	Curry	
<i>[Handwritten marks]</i>	8	2449575	9-21-1948	Wilhelm	
<i>[Handwritten marks]</i>	9	2523637	9-26-1950	Stanfield et al.	
<i>[Handwritten marks]</i>	10	2690338	9-28-1954	Brocke	
<i>[Handwritten marks]</i>	11	2984486	5-16-1961	Jones	
<i>[Handwritten marks]</i>	12	3087729	4-30-1963	Sullivan	
<i>[Handwritten marks]</i>	13	3095198	6-25-1963	Gasche	
<i>[Handwritten marks]</i>	14	3157723	11-17-1964	Jerome Hochberg	
<i>[Handwritten marks]</i>	15	3311375	3-28-1967	J.H. Onions	
<i>[Handwritten marks]</i>	16	4015851	4-05-1977	Pennell	
<i>[Handwritten marks]</i>	17	4052061	10-4-1977	Stewart	
<i>[Handwritten marks]</i>	18	4133529	1-9-1979	Gambino	
<i>[Handwritten marks]</i>	19	4137360	1-30-1979	Reischl	
<i>[Handwritten marks]</i>	20	4347280	8-31-1982	Lau et al.	
<i>[Handwritten marks]</i>	21	4358499	11-9-1982	Hill	
<i>[Handwritten marks]</i>	22	4448922	5-15-1984	McCartney	
<i>[Handwritten marks]</i>	23	4651991	3-24-1987	McDuff	
<i>[Handwritten marks]</i>	24	4662415	5-5-1987	Proutt	
<i>[Handwritten marks]</i>	25	4878667	11-7-1989	Tosti	
<i>[Handwritten marks]</i>	26	4941232	7-17-1990	Decker et al.	
<i>[Handwritten marks]</i>	27	5118107	6-2-1992	Bucher	
<i>[Handwritten marks]</i>	28	5123646	6-23-1992	Overby et al.	
<i>[Handwritten marks]</i>	29	5127650	7-7-1992	Schneller	

Examiner Signature

Date Considered

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Multiple sheets used when necessary)	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Ben Huang
	Art Unit	1764
	Examiner	Unknown
SHEET 2 OF 4	Attorney Docket No.	WINN.11CP5DVI

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
<i>[Handwritten initials]</i>	30	5469601	11-28-1995	Jackson	
	31	5511445	4-30-1996	Hildebrandt	
	32	5570884	11-5-1996	Carps	
	33	5584482	12-17-1996	Huang	
	34	5611533	3-18-1997	Williams	
	35	5624116	4-29-1997	Yeh	
	36	5626527	5-6-1997	Eberlein	
	37	5645501	7-8-1997	Huang	
	38	5730669	3-24-1998	Huang	
	39	5772524	6-30-1998	Huang	
	40	5781963	07-21-1998	Maru et al.	
	41	5813921	9-29-1998	Huang	
	42	5816933	10-6-1998	Huang	
	43	5851632	12-22-1998	Chen et al.	
	44	5867868	2-09-1999	Ward	
	45	5890260	4-6-1999	Gaunt	
	46	5890972	4-6-1999	Huang	
	47	5924941	7-20-1999	Hagey	
	48	6036607	3-14-2000	Finegan	
	49	6197392	3-6-2001	Jones	
	50	6226836	5-8-2001	Yasui	
	51	6503153	1-7-2003	Wang	
	52	6506128	1-14-2003	Bloom, Jr.	
	53	6551198	4-22-2003	Huang	
	54	6558270	5-6-2003	Kwitek	
	55	6627027	9-30-2003	Huang	
	56	6652398	11-25-2003	Falone et al.	
	57	6656057	12-2-2003	Manual et al.	
	58	6663500	12-16-2003	Huang	

Examiner Signature <i>[Signature]</i>	Date Considered <i>9/12/07</i>
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Multiple sheets used when necessary)	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Ben Huang
	Art Unit	1764
SHEET 3 OF 4		Examiner Unknown
		Attorney Docket No. WINN.11CP5DVI

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	59	6733401	05-11-2004	Huang	
	60	6857971	02-22-2005	Huang	
	61	US 2002/0142858	09-23-2004	Huang	
	62	US 2002/0142900	10-3-2002	Wang	
	63	US 2003/0045370	03-06-2003	Jaw	
	64	US 2003/0148836	08-07-2003	Falone et al.	
	65	US 2003/0150081	8-14-2003	Wang	
	66	US 2003/0216192	11-20-2003	Chu	
	67	US 2003/0228030	06-21-2005	Chu et al.	<del>NOT CONSIDERED</del>
	68	US 2004/0031128	02-19-2004	Chen	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	69	ZL 02254450 X	09-25-2002	People's Republic of China		X

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.	T <sup>1</sup>
	70	U.S. Application No. 11/412,196, filed 4/25/2006, pending	
	71	U.S. Application No. 11/416,364, filed 5/1/2006, pending	
	72	U.S. Application No. 11/413,411, filed 4/28/2006, pending	
	73	U.S. Application No. 11/438,808, filed 5/22/2006, pending	
	74	U.S. Application No. 11/417,643, filed 5/3/2006, pending	
	75	U.S. Application No. 11/417,696, filed 5/3/2006, pending	
	76	U.S. Application No. 11/417,623, filed 5/3/2006, pending	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Ben Huang
	Art Unit	1764
(Multiple sheets used when necessary)	Examiner	Unknown
SHEET 4 OF 4	Attorney Docket No.	WINN.11CP5DVI

NON PATENT LITERATURE DOCUMENTS			
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	77	U.S. Application No. 11/417,555, filed 5/3/2006, pending	
	78	U.S. Application No. 11/417,401, filed 5/3/2006, pending	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Ben Huang
	Art Unit	1764
(Multiple sheets used when necessary)	Examiner	Unknown
SHEET 1 OF 1	Attorney Docket No.	WINN.11CP5DVI

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,997,421	12-07-1999	Huang	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
						X

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>

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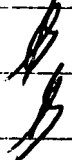
Examiner Signature		Date Considered	9/12/07
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application No.	10/785,379
	Filing Date	February 24, 2004
	First Named Inventor	Ben Huang
	Art Unit	3711
(Multiple sheets used when necessary)	Examiner	Stephen Luther Blau
SHEET 1 OF 1	Attorney Docket No.	WINN.11CP5DV1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	2,206,056	07-02-1940	Sheesley	
	2	3,140,873	07-14-1964	Goodwin	
	3	3,857,745	12-31-1974	Grausch et al.	
	4	3,922,402	11-25-1975	Shimamura et al.	
	5	4,012,039	05-15-1977	Yerke	
	6	4,216,251	08-05-1980	Nishimura et al.	
	7	4,284,275	08-18-1981	Fletcher	
	8	5,261,665	11-16-1993	Downey	
	9	5,374,059	12-20-1994	Huang	
	10	5,480,146	01-02-1996	Comer	
	11	6,048,275	04-11-2000	Gedeon	
	12	6,261,191	07-17-2001	Chen	
	13	6,635,688	10-21-2003	Simpson	
	14	2003/0139223 A1	07-24-2003	Ulrich et al.	
	15	2004/0109980 A1	06-10-2004	Chen et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	16	JP 7-41731	02-1995	Kogyo		X

NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	17	U.S. Application No. 11/682,264, filed March 5, 2007, pending
	18	U.S. Application No. 11/689,452, filed March 21, 2007, pending

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Examiner Signature	Date Considered 9/12/07
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